

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Ranjan PERERA *et al.*

Confirmation No.: 7997

Application No.: 10/702,319

Group Art Unit: 1636

Filed: November 6, 2003

Examiner: Qian, Celine X.

For: Compositions and Methods for the Modification of Gene Expression

Commissioner for Patents
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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,

Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- [x] Pursuant to 37 C.F.R. §1.98, a copy of document 36 cited in the attached Form PTO-1449 is enclosed.
- [x] Copies of the remaining publications listed on the attached Form PTO-1449 are not being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 10/137,036, filed April 30, 2002, and cited herein as 20030101478, document no. 10, to which the above-identified application claims priority under 35 U.S.C. §120.
- [] Copies of documents that were not submitted in the above-mentioned related United States Patent Applications may be found in related United States Patent Application Nos.:
Should the Examiner be unable to locate a document, a copy will be provided upon request.
- [x] No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO-1449 are being provided pursuant to 37 C.F.R. §1.98.

- [x] Document no. 12, WO 00/58474, is a PCT Patent publications of Applicants' related PCT Application no. PCT/NZ00/00018, filed February 24, 2000.
- [] Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- [] Enclosed is a copy of a non-English publication(s) _____. English language publication _____ (copy enclosed) claims priority from this non-English publication.
- [] Enclosed are abstracts of non-English publications _____, cited herein as documents _____ respectively. English abstracts are attached to each document. An English abstract of non-English publication _____, document _____, may be found on the cover page of the publication.
- [] Enclosed is an English translation of non-English publications _____, cited herein as documents _____ respectively. English translations are attached to each document.
- [x] The Examiner's attention is directed to related United States Patent Application Serial Nos.:
09/276,599, filed March 25, 1999, cited herewith as 6380459 (document no. 8);
09/598,401, filed June 20, 2000, cited herewith as 6596925 (document no. 9);
09/724,624, filed November 28, 2000, now abandoned;
10/137,036, filed April 30, 2002, cited herewith as 20030101478 (document no. 10);
10/927,641, filed August 27, 2004, cited herewith as 20050244968 (document no. 11); and
11/711,894, filed February 28, 2007, not published.

This Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing of:

- [x] a final action under 37 C.F.R. §1.113;
[] a notice of allowance under 37 C.F.R. §1.311; or

[] an action that otherwise closes prosecution in this application.

In accordance with 37 C.F.R. §1.97(c) also enclosed is:

- [x] Fee under 37 C.F.R. §1.17(p) in the amount of \$180.00; or
- [] Statement as specified in 37 C.F.R. §1.97(e):
 - [] Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
 - [] No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, having made a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

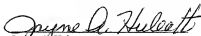
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Forms PTO-1449 to the undersigned. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 50-1283.

Dated: March 30, 2007

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Attorney Docket No. ARBG-004/07US	Application No. 10/702,319
	Applicants: Ranjan PERERA <i>et al.</i>	PAGE 1 of 4
	Filing Date: November 6, 2003	Group Art Unit: 1636

PTO Form 1449

U.S. PATENT DOCUMENTS

Initial	Document No.	Date	Name	Class	Sub-Class	Filing Date
1.	5510474	04/23/1996	Quail et al.			
2.	5639952	06/17/1997	Quail et al.			
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8.	6380459	04/30/2002	Perera et al.			
9.	6596925	07/22/2003	Perera et al.			
10.	20030101478	05/29/2003	Perera et al.			
11.	20050244968	11/03/2005	Perera et al.			

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub-Class	Translation
12.	WO 00/58474	10/05/2000	WIPO			
13.	WO 01/98485	12/27/2001	WIPO			
14.	WO 97/47756	12/18/1997	WIPO			

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Examiner	Date Considered
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) PTO Form 1449	Attorney Docket No. ARBG-004/07US	Application No. 10/702,319
	Applicants: Ranjan PERERA <i>et al.</i> PAGE 2 of 4	
	Filing Date: November 6, 2003	Group Art Unit: 1636

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		Applicants: Ranjan PERERA <i>et al.</i>	
		PAGE 3 of 4	
PTO Form 1449		Filing Date: November 6, 2003	Group Art Unit: 1636
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35.	GenBank Accession No. Z14990 (ATUBC9), submitted May 18, 1993.		
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	Applicants: Ranjan PERERA <i>et al.</i>	
	Page 4 of 4	
PTO Form 1449	Filing Date: November 6, 2003	Group Art Unit: 1636

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